

# Inverse and direct theorems for monotone approximation

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## Abstract

We prove that if  $f$  is increasing function on  $[-1,1]$  then for each  $n=1,2,\dots$ , there is an increasing algebraic polynomial  $p_n$  of

degree  $8n$  such that  $\|f - p_n\|_p \leq c(p)\omega_2^{\varphi}\left(f, \frac{1}{n}\right)_p$ ,

Where  $\omega_2^{\varphi}$  is the second order Ditizian - Totik modulus of smoothness. Also a converse theorem for this direct theorem were obtained. These results complement the classical pointwise estimates of the same type for unconstrained polynomial approximation.

## 1 .Introduction and Main Results

Several results show that in some sense monotone approximation by algebraic polynomials performs as well as unconstrained approximation. For example Lorentz and Zeller, [7] have shown that for each increasing function  $f$  in  $C(I)$  ( the

space of all continuous functions on  $I=[-1,1]$  ) there is an increasing polynomial  $p_n$  of degree  $n$  that satisfies

$$\|f - p_n\| \leq c \omega \left( f, \frac{1}{n} \right), \quad n = 1, 2, \dots \quad (1.1)$$

where  $\omega$  is the modulus of continuity of  $f$ .

A general result for (2.1.1) for any  $k=0,1$ , there are increasing  $p_n$  that satisfies

$$\|f - p_n\| \leq c n^{-k} \omega \left( f^{(k)}, \frac{1}{n} \right), \quad n = 1, 2, \dots \quad (1.2)$$

this result of Lorentz [6], where as the general case was proved by DeVore [3], the cases  $k=0,1$  are much easier to prove than the general cases. Since they can be proved using linear method, in contrast, the proof in [3] uses rather involved non linear techniques. It is well known that for unconstrained approximation much improvement can be made in estimates of the form (1.1) where  $x$  is near the end points of  $I$ .

In this thesis, we are interested in pointwise estimates for monotone approximation, the only result of this type that we know of is by Beatson [1]. He proved that the estimate

$$|f(x) - p_n(x)| \leq c \omega (f, \Delta_n(x)), x \in I, n = 1, 2, \dots$$

$$\Delta_n(x) = \sqrt{\frac{1-x^2}{n}} + \frac{1}{n^2}, \text{ holds for suitable increasing polynomials } p_n$$

whenever  $f$  is increasing. Devore and Yn have shown that if  $f$

is increasing function on  $I = [-1,1]$ , then for each  $n = 1, 2, \dots$  there is an increasing polynomial  $p_n$  of degree  $n$  such that

$$|f(x) - p_n(x)| \leq c \omega_2 \left( f, \sqrt{\frac{1-x^2}{n}} \right),$$

where  $\omega_2$  is the second order moduli of smoothness. Among other things, we shall show that this can be improved to allow second order modulus of smoothness for the spaces  $L_p, 0 < p < 1$ .

**Theorem I:** If  $f$  is an increasing function in  $L_p(I), 0 < p < 1$  then for each  $n = 1, 2, \dots$  there is an increasing polynomial in  $L_p(I)$ , of degree  $(8n)$  satisfying

$$\|f - p_n\|_p \leq c(p) \omega_2^{\varphi} \left( f, \frac{1}{n} \right)_p. \quad (1.3)$$

Using this theorem we can obtain our second Inverse inequality:

**Theorem II:** Let  $f$  be an increasing function in  $L_p(I), 0 < p < 1$ , then

$$\omega_2^{\varphi} \left( f, n^{-1} \right)_p^p \leq c(p) E_n^1(f)_p^p + c(p) n^{-2p} \sum_{m>n} (m+1)^{p-1} E_n^1(f)_p^p.$$

## 2 .Auxiliary Lemmas

Before we prove our theorems we need the following notions and lemmas. Our proof is based on a two stage approximation. We first approximate  $f$  by an increasing piecewise linear function  $S_n$ . We then approximate  $S_n$  by an increasing algebraic polynomial .  $S_n$  is the piecewise linear function that interpolates  $f$  at  $\xi_k, k = -n, \dots, n$ , if we let  $s_j$  be the slopes

$$s_j = \frac{f(\xi_{j+1}) - f(\xi_j)}{\xi_{j+1} - \xi_j}, \quad j = -n, \dots, n-1 \quad . \quad (2.1)$$

Then  $S_n$  can be represented by using the function  $\Phi_j(x) = \max\{(x - \xi_j), 0\}$  as

$$S_n(x) = f(-1) + s_{-n}(x+1) + \sum_{j=-n+1}^{n-1} (s_j - s_{j-1}) \Phi_j(x), \quad [4] \quad (2.2)$$

It is clear that  $S_n$  is increasing if  $f$  is also.

We shall now construct a polynomial  $R_j, j = -n, \dots, n-1$ , as in [4] that approximate the function  $\Phi_j(x)$ . The construction of  $R_j$  begins with trigonometric polynomial  $T_j, j = 1, \dots, 2n$  with

$t_j = \frac{j\pi}{2n}$ ,  $j = 0, 1, \dots, 2n$ . Let  $K_n$  denote the Jackson kernel

$$K_n(t) = a_n \left( \frac{\sin \frac{nt}{2}}{\sin \frac{t}{2}} \right)^8, \quad (2.3)$$

where  $a_n$  is a constant depending on  $n$  chosen so that

$$\int_{-\pi}^{\pi} K_n(t) dt = 1.$$

Here and throughout  $c(p)$ ,  $c$ , denote absolute constants depending on  $p$  and  $c(p), c$ 's, values may vary with each occurrence on the same line.

Define

$$T_j(t) = \int_{t-t_j}^{t+t_j} K_n(u) du, \quad j = 0, 1, \dots, 2n, [4]$$

and define

$$d_j(t) = \max(n \operatorname{dist}(t, \{-t_j, t_j\}), 1), [4] \quad (2.4)$$

Now let

$$r_j(x) = T_{m-j}(t), \quad x = \cos t.$$

And for  $x \in [-1, 1]$  define

$$R_j(x) = \int_{-1}^x r_j(u) du, \quad j = -n, \dots, n, [4] \quad (2.5)$$

In particular  $R_{-n}(x) = x + 1 = \Phi(x)$  and  $R_n(x) = 0$ , the points  $\xi_j$  are defined by the equations

$1 - \xi_j = R_j(1), j = -n, \dots, n$ . If  $f \in L_p(I)$  we define

$$L_n(f) = f(-1) + s_{-n}R_{-n} + \sum_{j=-n+1}^{n-1} (s_j - s_{j-1})R_j. \quad (2.6)$$

with  $s_j$  defined by (2.1) if  $f$  is increasing, the  $s_j \geq 0, j = -n, \dots, n-1$  and since we can also write

$$L_n(f) = f(-1) + \sum_{j=-n}^{n-1} s_j (R_j - R_{j+1}).$$

Now from the definition of the polynomials  $T_j$  we have  $T_{n-j} - T_{n-(j+1)} \geq 0$ , hence  $r_j - r_{j+1} \geq 0$ , and therefore  $R_j - R_{j+1}$  is increasing, it follows that  $L_n(f)$  is increasing.

We now estimate

$$E(x) = S_n(x) - L_n(f, x) = \sum_j (s_j - s_{j-1}) (\Phi_j(x) - R_j(x)). \quad (2.7)$$

Now for  $j = -n, \dots, n-1, x = \cos t$  with  $0 \leq t \leq \pi$ , we have

$$|\Phi_j(x) - R_j(x)| \leq cn^{-1} (\sin t_{n-j} + |t - t_{n-j}|) (d_{n-j}(t))^{-5}, [20] \quad (2.8)$$

**Lemma 2.9.**  $\|L_n(f)\|_p \leq c(p)\|f\|_p$

*Proof:* We have

$$S_n(x) - L_n(f, x) = \sum_{j=-n+1}^{n-1} (s_j - s_{j-1}) (\Phi_j(x) - R_j(x)).$$

Then

$$\begin{aligned} |L_n(f, x)| &= \left| S_n(x) - \sum_{j=-n+1}^{n-1} (s_j - s_{j-1}) (\Phi_j(x) - R_j(x)) \right| \\ &\leq |S_n(x)| + \left| \sum_{j=-n+1}^{n-1} (s_j - s_{j-1}) (\Phi_j(x) - R_j(x)) \right|. \end{aligned}$$

Definition of  $S_n$  implies

$$|S_n(x)| \leq |f(-1)| + |s_{-n}(x+1)| + \sum_{j=-n+1}^{n-1} |s_j - s_{j-1}| \|\Phi_j(x)\|.$$

Thus

$$|S_n| \leq c|f(x)|.$$

And

$$|L_n(f, x)| \leq c|f(x)| + \sum_{j=-n+1}^{n-1} |s_j - s_{j-1}| \|\Phi_j - R_j\|.$$

Then (2.2.1) implies

$$|s_j| \leq \frac{c|f(x)|}{\delta_j} \leq \frac{c|f(x)|}{\delta_{j+1}} \quad \text{where } \delta_j = \xi_{j+1} - \xi_j.$$

Then

$$|L_n(f, x)| \leq c|f(x)| + c|f(x)| \sum_{j=-n+1}^{n-1} \delta_j^{-1} \|\Phi_j - R_j\|,$$

and by  $\delta_j = \xi_{j+1} - \xi_j = \frac{c}{n}$ , we have  $\delta_j^{-1} \leq cn$ .

So

$$\begin{aligned} |L_n(f, x)| &\leq c|f(x)| + c|f(x)| \sum_{j=-n+1}^{n-1} (1 + n|t - t_{n-j}|)(d_{n-j}(t))^{-5} \\ &\leq c|f(x)| + c|f(x)| \sum_{j=-n+1}^{n-1} \frac{1}{n^4} \end{aligned}$$

Then by the following [4]

**Lemma 2.10** If  $g'$  is absolutely continuous and  $|g''| \leq M$  almost every where on  $I$ . Then for each  $n=1,2,\dots$  and each  $x \in I$ , we have

$$|g(x) - L_n(g, x)| \leq cM \left( \frac{\sqrt{1-x^2}}{n} \right)^2.$$

We can prove

**Lemma 2.11** If  $g'$  is absolutely continuous and  $|g''| \leq M$  almost every where on  $I$ , then for each  $n=1,2,\dots$ , and each  $x \in I$  we have

$$\|g - L_n(g)\|_p \leq \frac{c(p)}{n^2}.$$

### 3 .proof of theorem I

Firstly let us introduce the so called Ditzian Totik functional definition as

$$\tilde{K}_{2,\varphi}\left(f, \frac{1}{n^2}\right)_p = \inf_g \left( \|f - g\|_p + \frac{1}{n^2} \|\varphi^2 g''\|_p \right),$$

for  $f \in L_p(I), 0 < p \leq \infty$ .

We have

$$\omega_2^\varphi(f, n^{-1})_p \approx \tilde{K}_{2,\varphi}\left(f, \frac{1}{n^2}\right)_p \quad [2].$$

Given  $x \in I$ , then from the result above there is a  $g$  satisfies

$$\|f - g\|_p \leq c(p) \omega_2^\varphi(f, n^{-1})_p$$

and

$$\frac{1}{n^2} \|\varphi^2 g''\|_p \leq c(p) \omega_2^\varphi(f, n^{-1})_p \quad (3.1)$$

$$\|f - L_n(f)\|_p^p \leq \|f - g\|_p^p + \|g - L_n(g)\|_p^p + \|L_n(g) - L_n(f)\|_p^p.$$

Then by the linearity, and the boundedness of  $L_n(f)$ , we obtain

$$\|f - L_n(f)\|_p^p \leq \|f - g\|_p^p + \|g - L_n(g)\|_p^p + \|L_n\|_p^p \|f - g\|_p^p$$



$$\leq \left(1 + \|L_n\|_p^p\right) \|f - g\|_p^p + \|g - L_n(g)\|_p^p.$$

Lemma (2.11) implies  $\|g - L_n(g)\|_p^p \leq \frac{c(p)}{n^2}$ .

Using (3.1), Lemma( 2.11) and the linearity of  $L_n(f)$ , we have

$$\begin{aligned} \|f - L_n(f)\|_p^p &\leq \left(1 + \|L_n\|_p^p\right) c(p) \omega_2^\varphi(f, n^{-1})_p^p + \frac{c(p)}{n^2} \|\varphi^2 g''\|_p^p \\ &\leq \left(1 + \|L_n\|_p^p\right) c(p) \omega_2^\varphi(f, n^{-1})_p^p + c(p) \omega_2^\varphi(f, n^{-1})_p^p. \end{aligned}$$

By virtue of Lemma ( 2.9) we have

$$\begin{aligned} \|f - L_n(f)\|_p^p &\leq c(p) \left( (1 + \|f\|_p^p) \omega_2^\varphi(f, n^{-1})_p^p + \omega_2^\varphi(f, n^{-1})_p^p \right) \\ &\leq c(p) \omega_2^\varphi(f, n^{-1})_p^p \end{aligned}$$

Since  $L_n(f)$  is an increasing polynomial of degree  $\leq 8n$  we

have proved theorem I ♣

## 4 .proof of Theorem II

For given by  $n = \max\{i : 2^i < n\} + 1$ , we expand  $p_n(x)$  by

$$p_n(x) - p_0(x) = (p_n(x) - p_{2^i}(x)) + (p_{2^i}(x) - p_{2^{i-1}}(x)) + \dots + (p_1(x) - p_0(x)).$$

We recall that for  $m < n$   $\|p_n - p_m\|_p^p \leq c(p) E_m^1(f)_p^p$

$$\begin{aligned} \omega_2^\varphi(f, n^{-1})_p^p &\leq c(p) \|f - p_n\|_p^p + c(p) n^{-p} \omega_1^\varphi(p_n, n^{-1})_p^p \\ &\leq c(p) E_n^1(f)_p^p + c(p) n^{-2p} \|p_n'\|_p^p \\ &\leq c(p) E_n^1(f)_p^p + c(p) n^{-2p} \left\| \sum_{i=1}^n p_{2^i}' \right\|_p^p, \end{aligned}$$

where  $p_{2^i}$  is an algebraic polynomial of best monotone approximation of degree not greater than or equal  $2^i$  it mean

$$\|f - p_{2^i}\|_p^p = E_{2^i}^1(f)_p^p. \quad (4.1)$$

Then

$$\begin{aligned} \omega_2^p(f, n^{-1})_p^p &\leq c(p)E_n^1(f)_p^p + c(p)n^{-2p} \left\| \sum_{i=1}^{\infty} p'_{2^i} - p'_{2^{i-1}} \right\|_p^p \\ &\leq c(p)E_n^1(f)_p^p + c(p)n^{-2p} \sum_{i=1}^{\infty} \|p'_{2^i} - p'_{2^{i-1}}\|_p^p. \end{aligned}$$

Then by Bernstein inequality we have

$$\omega_2^p(f, n^{-1})_p^p \leq c(p)E_n^1(f)_p^p + c(p)n^{-2p} \sum_{i=1}^{\infty} (2^i n)^p E_{2^i n}^1(f)_p^p.$$

Applying the inequality

$$2^{iv} \leq c(p, v) \sum_{m=2^{i-1}+1}^{m+1} (m+1)^{v-1}, v \in N \quad [5]$$

We get

$$\omega_2^p(f, n^{-1})_p^p \leq c(p)E_n^1(f)_p^p + c(p)n^{-2p} \sum_{m>n}^{\infty} (m+1)^{p-1} E_m^1(f)_p^p \spadesuit$$

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